



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) _____

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Zähringer Privatbank AG	<u>Name of Transferor:</u> Notenstein La Roche Privatbank AG
Notices to Transferee should be sent to: Zähringer Privatbank AG Schmiedenplatz 3 CH-3011 Bern PHONE ++41 31 307 50 00 Attn: Adrian Lerf EMAIL adrian.lerf@zaehringer-privatbank.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor Notenstein La Roche Privatbank AG Bohl 17 Postfach CH-9004 St. Gallen, Switzerland Attn: Corporate Actions +41 71 242 59 19
<u>Amount of Claim Being Transferred:</u> USD 8'920.43	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
<u>Court Claim No. (if known):</u> 555829-80	
<u>Date Claim Filed:</u> Oktober 29, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

Adrian Lerf, authorized representative

Date: 12/15/2015

Adrian Lerf
Tihomir Vatalic, authorized representative
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Evidence of Transfer

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the
"Bankruptcy Court")
One Bowling Green
New York, New York 10004
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc.*, No. 08-13555 (JMP)

Proof of Claim Number: 55829 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Opportunity Notes Lehman Brothers Treasury B.V. 2006-13.4.2011	XS0248620899	Lehman Brothers Treasury BV	LBH Inc.	CHF 10'000.--

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 13 and in paragraphs/lines 19 of the Addendum to the Proof of Claim.

NOTENSTEIN LA ROCHE PRIVATBANK AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Zähringer Privatbank AG
Schmiedenplatz 3
CH-3011 Bern
PHONE ++41 31 307 50 00
Attn: Adrian Lurf
EMAIL adrian.lurf@zaehringer-privatbank.ch

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

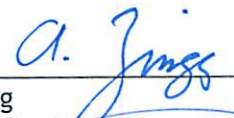
Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the

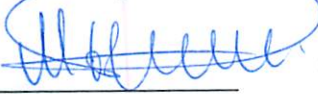
Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated November 20th 2015.

Notenstein La Roche Privatbank AG
Transferor


By: 
Name: August Zingg
Title: Member of the Management

By: 
Name: Marco Rinaldi
Title: Member of the Management

ACKNOWLEDGED BY:

[Zähringer Privatbank AG]
Transferee

By: 
Name: Adrian Lerf
Title: Authorized representative

By: 
Name: Tihomir Katolic
Title: Authorized representative



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registered mail

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076
United States

Contact: Michael Maurer
T +41 31 950 73 11, F +41 31 950 76 51
michael.maurer1@swisscom.com
Gümligen, December 30th, 2015

In re: Lehman Brothers Holdings Inc.

Dear Sir or Madam

Please find attached four notices of transfer of claims

ISIN XS0248620899	Transferee: Zähringer Privatbank AG	Transferor: Notenstein La Roche Privatbank AG
ISIN XS0324890440	Transferee: Zähringer Privatbank AG	Transferor: Notenstein La Roche Privatbank AG
ISIN XS0186243118	Transferee: Zähringer Privatbank AG	Transferor: Notenstein La Roche Privatbank AG
ISIN XS0200265709	Transferee: Zähringer Privatbank AG	Transferor: Notenstein La Roche Privatbank AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

Yours faithfully
Swisscom Banking Provider AG

Michael Maurer

Katharina Tschannen

FILED / RECEIVED
JAN 06 2016
EPIQ SYSTEMS



CH-3050 Bern



RW 822 024 867 CH

Please scan - Signature required
Veuillez scanner - Remise contre Signature

R
Recommandé
étranger
SWISS POST

Registered Mail

Lehman Brothers Holdings
Claims Processing
c/o Epig Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076
United States

